

UNITED STATES DEPARTMENT OF JUSTICE

WASHINGTON, D. C.

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FEB / 1963

Registration Section
DEPARTMENT OF JUSTICE

SUPPLEMENTAL REGISTRATION STATEMENT

Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as AmendedREGISTRATION No. 504For Six Months Period Ending December 31, 1962
(Insert date)

1. (a) Name of Registrant.

Cleary, Gottlieb & Steen

(b) All other names used by Registrant during the period.

None

(c) Address of principal office.

1. 52 Wall Street, New York 5, New York
2. 224 Southern Building, Washington 5, D.C.

(d) Name of person or persons in charge of principal office.

George Cleary	James G. Johnson	Richard H. Moore	Joseph Morgan
Leo Gottlieb	Robert C. Barnard	Jerome E. Hyman	John K. Mallory, Jr.
Melvin C. Steen	John F. Ward	John J.B. Shea	William L. Lynch
Thomas J. Kiernan	Lyman M. Tondel	Edmund H. Kerr	Robert W. Wales

3. If Registrant is a nonbusiness membership organization, state— Cornelius W. Wickersham, Jr.

(a) Approximate number of members in the United States Inapplicable

(b) Approximate number of members outside the United States Inapplicable

4. (a) All persons who became partners, officers, directors, and similar officials of Registrant during the period.

Name and address
of official

Date connection began

Position, office, or nature
of duties

None

(b) All persons who ceased to be partners, officers, directors, or similar officials of Registrant during the period.

Name and address
of official

Date connection ended

Reason for ending
connection

John H. Ferguson

August 20, 1962

Resigned to become
U.S. Ambassador to
Morocco

5. (a) All branches and local units of Registrant and all other component or affiliated groups or organizations which began to operate during the period.

*Name and address of branch, unit,
group, or organization*

*Nature of connection with
Registrant*

*Name and address of person
in charge*

None

- (b) All branches and local units of Registrant and all other component or affiliated groups or organizations which ceased to operate during the period.

Name of branch, unit, group, or organization

Reason operations ceased

None

6. All persons who at any time during the period were foreign principals of Registrant.

*Name and principal
address*

*Is person still a foreign principal
of Registrant?*

*If not, give date connection
ended*

Government of the French Republic Yes

Conseil National du Patronat Francais. Yes

European Coal and Steel Community

Luxembourg

Yes

European Economic Commission

Brussels

Yes

European Atomic Energy Commission

Brussels

Yes

Colonial Sugar Refining Co., Ltd.

Sydney, Australia

Yes

7. Describe fully all activities of Registrant during the period for or in the interests of each foreign principal named under item 6.

See Annex 1

8. Describe briefly all other businesses, occupations, and public activities in which Registrant engaged during the period.

Registrant is a New York partnership engaged in the general practice of law, representing corporate and private clients in New York, Washington, Paris and Brussels.

9. Furnish the following information as to all employees and other individuals except those named under item 4, who during the period rendered any services or assistance to Registrant, with or without compensation, for or in the interests of any foreign principal named under item 6:

- (a) All such employees and other individuals for whom Short Form Registration Statements (Formerly Exhibits A) have previously been filed.

*Name and address of employee
or other individual*

*Nature of any changes during period in activities for
Registrant or its foreign principals*

*Has connection with
Registrant ended?*

See Annex 2

- (b) All such employees and other individuals for whom Short Form Registration Statements (Formerly Exhibits A) have not been previously filed.

*Name and address of employee
or other individual*

*Nature of services or
assistance rendered*

*Has connection with
Registrant ended?*

William Y. Sayad, Jr.
281 W. 11th Street
New York 14, N.Y.

Employed as
associate

No.

Roland Stevens Homet,
Jr.
4620 Chevy Chase 15,
Maryland.

Employed as
associate

No.

10. Furnish the following information as to Registrant's receipts and expenditures during the period covered by this statement. The information may, if Registrant desires, be furnished for Registrant's latest semiannual fiscal period, provided the period covered is indicated and future statements are furnished on the same basis:

- (a) All amounts received during the period directly or indirectly from each foreign principal named under item 6, itemized as follows:

<i>Date funds received</i>	<i>Name of foreign principal from whom funds received¹</i>	<i>Purposes for which received²</i>	<i>Amount received³</i>
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See Annex 3

- (b) All amounts received during the period from other sources to be used directly or indirectly for or in the interests of any foreign principal named under item 6, itemized as follows:⁴

<i>Date funds received</i>	<i>Name of person from whom received¹</i>	<i>Purposes for which received²</i>	<i>Amount received³</i>
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None

- (c) All expenditures made during the period directly or indirectly for or in the interests of each foreign principal named under item 6, itemized as follows:⁵

<i>Date payment was made</i>	<i>Name of person to whom payment was made¹</i>	<i>Purposes for which payment was made²</i>	<i>Amount of payment³</i>
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See Annex 4

¹ Include all amounts so received, whether received as compensation, loans, contributions, subscriptions, fees, dues, subsidies, or otherwise.

² Receipts from or payments to a person amounting to less than \$200 for the period may be combined with other like amounts, provided the source or disposition of the funds, as the case may be, is clearly indicated.

³ Where funds were received or paid, as the case may be, for various purposes, such purposes shall be listed in reasonable detail.

⁴ Show separately the amount received or paid, as the case may be, for each purpose listed under the preceding column.

⁵ Include all transfers of funds to any foreign principal.

11. (a) Speeches, lectures, talks, and radio broadcasts arranged or sponsored by Registrant or delivered by officials or employees of Registrant, during the period.

*Name of person by
whom delivered*

*Number of speeches, lectures,
and talks delivered*

*Number of radio broadcasts
delivered*

None for, on behalf of, or in the interests of foreign principals.

- (b) Publications prepared or distributed by Registrant, or by others for Registrant, or in the preparation or distribution of which Registrant rendered any services or assistance, during the period. (Indicate each type of publication by an "X.") **None for, on behalf of, or in the interests of foreign principals.**

- | | | |
|------------------------------|---|-----------------------------------|
| (1) Press releases | (8) Circulars | (15) Lantern slides |
| (2) News bulletins | (9) Form letters | (16) Still pictures |
| (3) Newspapers | (10) Reprints | (17) Posters |
| (4) Articles | (11) Copies of speeches, lec-
tures, talks, or radio
broadcasts | (18) Photographs |
| (5) Books | | (19) Charts |
| (6) Magazines | (12) Radio programs | (20) Maps |
| (7) Pamphlets | (13) Radio scripts | (21) Other publications |
| | (14) Moving pictures | |

- (c) Preparation and distribution of publications referred to in answer to (b) above.

*Description of
publication*

*By whom written, edited,
or prepared*

*By whom printed, produced,
or published*

*By whom
distributed*

Inapplicable

- (d) Compliance with the filing, labeling, and reporting provisions of Section 4 of the Foreign Agents Registration Act of 1938, as amended, and Rules 400-403 thereunder.

- (1) Were copies or summaries of all communications and publications referred to in answer to (a) and (b) above filed with the Department of Justice and the Librarian of Congress? If not, explain why copies or summaries of any such communications and publications were not filed.

Inapplicable

- (2) Were all such communications and publications labeled in accordance with Section 4 and Rules 400-403? If not, explain why any such communications and publications were not so labeled.

Inapplicable

- (3) Were reports of the delivery, distribution, or other dissemination of all such communications and publications made to the Department of Justice in accordance with Section 4 and Rules 400-403? If not, explain why any such reports were omitted.

Inapplicable

12. (a) Any changes during the period, not fully described above, in Registrant's affiliations, associations, or other connections with foreign governments, foreign political parties, or officials or agencies thereof.

*Name of government, party, or official,
or agency thereof*

*Nature of changes during period in Registrant's
connections therewith*

None

- (b) Any changes during the period in Registrant's pecuniary interest in or control over partnerships, corporations, associations, or other organizations or combinations of individuals.

*Name of organization or
combination*

*Nature of changes during period in
Registrant's ownership or other
pecuniary interest*

*Nature of changes during period in any
direction or control exercised
by Registrant*

None

13. (a) Any changes during the period in the ownership of or supervision, direction or control over Registrant by any organization, group, or individual.

*Name of organization, group,
or individual*

*Nature of changes during period in ownership,
supervision, direction, or control*

None

- (b) Any subsidy or other financial assistance received by Registrant during the period directly or indirectly from—

Any individual who is a citizen of, or resides in, a foreign country.

Any organization created in, or under the laws of, any foreign country or having its principal place of business in a foreign country.

Any foreign government or foreign political party, or any official or agency thereof.

*Name of person from whom subsidy or
financial assistance received*

*Nature and amount of subsidy or
financial assistance*

None

14. File the following exhibits with this statement:

Short Form Registration Statement - File a Short Form Registration Statement, on the printed form provided therefor, for each of the following persons for whom a Short Form Registration Statement (formerly Exhibit A) has not previously been filed:

- (a) All partners, officers, directors, and similar officials of Registrant.
- (b) All employees or other individuals who during the period rendered any services or assistance to Registrant, with or without compensation, for or in the interests of any foreign principal named under item 6.

Exhibit B.—File a copy of any changes during the period in the agreement, arrangement, or authorization (or if not in writing a written description thereof) pursuant to which Registrant is acting for, or receiving funds from, each foreign principal named under item 6.

Exhibit C.—File an Exhibit C, on the printed form provided therefor, for each foreign principal named under item 6 for whom an Exhibit C has not previously been filed.

Exhibit D.—If Registrant is a nonbusiness organization, file a copy of any changes during the period in its charter, constitution, bylaws, or other instruments of organization.

Exhibit E.—File a copy of the agreement or arrangement (or if not in writing, a written description thereof) between the Registrant and each business firm or other organization named under item 11 (c), and copies of all changes during the period in similar contracts previously filed.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in Exhibit A insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

Robert C. Barnard
.....
(Signature)

Robert C. Barnard

John K. Mallory, Jr.
.....
(Signature)

John K. Mallory, Jr.

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths, by a majority of those partners, officers, directors, or persons performing similar functions who are in the United States. If no such person is in the United States, the statement shall be signed and sworn to by the duly authorized representative of the Registrant.)

.....
(Signature)

.....
(Signature)

Subscribed and sworn to before me at

Washington, DC

this

31st

day of

January

19 *63*

Mary J. Lissner
.....
(Signature of notary or other officer)

My Commission Expires Dec. 14, 1963

My commission expires, 19.....

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REGISTRATION NO. 508

ANNEX 1

DESCRIPTION OF ACTIVITIES OF REGISTRANT FOR
EACH FOREIGN PRINCIPAL NAMED UNDER ITEM 6

1. Government of the French Republic

Registrant acts as counsel to the French Financial Counselor, the French Commercial Counselor, and the French Financial Controller, who are representatives of the Government of the French Republic. In this capacity, partners and associates of the registrant advise these officers with respect to American legislative proposals and administrative regulations which affect French trade and French financial and commercial interests. Registrant also advises these officers with respect to contracts and other business transactions.

Registrant also acts as counsel to the French Air, Naval and Military Attaches, who are officers of the Government of the French Republic. In this capacity, partners and associates of the registrant advise these officers with respect to contracts and also with respect to leases, litigation, etc., in which these officers are interested.

2. Conseil National du Patronat Francais

Registrant advises Patronat Francais (and, at its request, related associations such as Comite France Actuelle) with respect to ordinary legal matters such as letters, contracts, loans, as well as with respect to American legislative and administrative proposals, and communicates individually with other interested persons in the United States. Advice is also given re the publication by the Comite of a newsletter called "France Actuelle", which newsletter is separately registered by the Comite at the Department of Justice.

3. European Coal and Steel Community

Registrant acts as counsel to the High Authority of the European Coal and Steel Community. In this capacity, partners and associates of the registrant advise members and staff of the High Authority, as well as other officials of the European Coal and Steel Community, with respect to American legislative and administrative acts and proposals affecting trade between Europe and the United States, mutual security, control of exports, financing, and other subjects of interest to the Community. In addition, partners and associates of the registrant furnish general legal advice to the United States Information Office

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REGISTRATION NO. 508

ANNEX 1 (cont.)

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of the Community with respect to ordinary legal matters such as contracts. The Director of the U.S. Information Office disseminates information about the Community to various segments of the American press and public by means of periodical and other publications, speeches, and personal observations.

4. European Economic Commission

Registrant acts as counsel to the European Economic Commission. In this capacity, partners and associates of the registrant advise members and staff of the Commission, as well as other officials of the European Economic Commission, with respect to American legislative and administrative acts and proposals affecting trade between Europe and the United States, private investment abroad, financing, and other subjects of interest.

5. European Atomic Energy Commission

Registrant acts as counsel to the European Atomic Energy Commission. In this capacity the partners and associates of the registrant advise members and staff of the Commission, as well as other officials of the European Atomic Energy Commission, with respect to American legislative and administrative acts and proposals affecting the European atomic energy program.

6. Colonial Sugar Refining Company, Ltd.

Registrant advises the company with respect to legal problems involving the Sugar Act and its administration, as well as with respect to American legislative and administrative proposals and developments affecting the United States sugar market. In addition, Registrant also advises the company on other legal matters, unrelated to American sugar legislation and administration.

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ANNEX 2REGISTRATION NO. 508

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Name and address of employee
or other individualNature of any changes
during period in activi-
ties for registrant or
its foreign principalsHas connec-
tion with
registrant
ended?James G. Johnson, Jr.
52 Wall Street, New York 5, New York

None

No

Robert C. Barnard
52 Wall Street, New York 5, New York
Southern Building, Washington 5, D.C.

None

No

Robert W. Wales
52 Wall Street, New York 5, New York

None

No

Joseph Morgan, formerly at
52 Wall Street, New York 5, New YorkTransferred to Paris
Office

No

James W. Lamberton
52 Wall Street, New York 5, New York

None

No

John K. Mallory, Jr.
52 Wall Street, New York 5, New York
Southern Building, Washington 5, D.C.

None

No

Jan M. Z. Kacmarek
52 Wall Street, New York 5, New York
Southern Building, Washington 5, D.C.

None

No

Morton M. Winston
52 Wall Street, New York 5, New York
Southern Building, Washington 5, D.C.

None

No

Robert Michael Duncan
52 Wall Street, New York 5, New York
Southern Building, Washington 5, D.C.

None

No

George V. Egge, Jr.
52 Wall Street, New York 5, New York
Southern Building, Washington 5, D.C.

None

No

Donald L. Morgan
52 Wall Street, New York 5, New York
Southern Building, Washington 5, D.C.

None

No

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REGISTRATION NO. 568

ANNEX 3

Registration Section

Date Funds Received	Name of Foreign Principal from Whom Funds Received	Purpose	Amount Rec'd
July 12	French Government	Fee & Disbursements	\$ 2,013.09
July 13	French Government	Fee & Disbursements	1,502.00
July 27	French Government	Fee & Disbursements	4,001.10
Dec. 26	French Government	Fee	2,000.00
Dec. 28	French Government	Fee & Disbursements	1,534.48
Dec. 31	French Government	Fee & Disbursements	4,003.45
Nov. 2	Conseil National du Patronat	Fee & Disbursements	12,500.00
July-Dec.	Conseil National du Patronat	Disbursements	750.00
Aug. 13	European Coal & Steel Community	Fee & Disbursements	3,067.87
July-Dec.	European Coal & Steel Community	Disbursements	900.00
Aug. 17	European Atomic Energy Commission	Fee & Disbursements	4,534.05*
July 6	Colonial Sugar Refining Co.	Fee & Disbursements	10,815.46
Aug. 1	Colonial Sugar Refining Co.	Fee & Disbursements	9,278.93
Aug. 29	Colonial Sugar Refining Co.	Fee & Disbursements	4,439.78
Oct. 8	Colonial Sugar Refining Co.	Fee & Disbursements	1,293.56
Oct. 29	Colonial Sugar Refining Co.	Fee & Disbursements	968.52
Dec. 6	Colonial Sugar Refining Co.	Fee & Disbursements	902.80
Dec. 6	Colonial Sugar Refining Co.	Fee & Disbursements	454.59
Dec. 28	Colonial Sugar Refining Co.	Fee & Disbursements	590.11

* Amount of retainer has been increased to \$9,000.00 per annum as of January 1, 1962.

Note: The fees and disbursements received from Colonial Sugar Refining Company include amounts received on account of professional legal services relating to the ordinary commercial business of the Colonial Sugar Refining Company.

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REGISTRATION NO. 548

ANNEX 4

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INDIRECTLY FOR OR IN THE INTEREST OF EACH FOREIGN
PRINCIPAL NAMED UNDER ITEM #6, ITEMIZED AS FOLLOWS:

Date Payment Was Made	Name of Person to Whom Payment Was Made	Purpose for Which Payment Was Made	Amount of Payment
<u>FRENCH REPUBLIC</u>			
July 1 - Dec. 31, '62	Various persons in Washington	Supplies, business services, transpor- tation, postage, cables, tolls, etc.	\$ 31.67
<u>CONSEIL NATIONAL DU PATRONAT</u>			
July 1 - Dec. 31, '62	Various persons in Washington	Supplies, business services, transpor- tation, postage, tolls, cables, etc.	32.70
July 31- Nov. 29, '62	Stephen Laird	Professional services 1/	7,500.00
July - Dec.	Smoot Sand & Gravel Co. Washington, D.C.	Rent (Southern Bldg)	750.00
<u>EUROPEAN COAL AND STEEL COMMUNITY</u>			
July 1 - Dec. 31, '62	Various persons in New York and Washington	Supplies, business services, transpor- tation, postage, tolls, cables, etc.	41.53
July - Dec.	Smoot Sand & Gravel Co. Washington, D.C.	Rent (Southern Bldg)	900.00
<u>EUROPEAN ECONOMIC COMMISSION</u>			
July 1 - Dec. 31, '62	Various persons in New York and Washington	Supplies, business services, transpor- tation, postage, tolls, cables, etc.	104.16
<u>EUROPEAN ATOMIC ENERGY COMMISSION</u>			
July 1 - Dec. 31, '62	Various persons in New York and Washington	Supplies, business services, transpor- tation, postage, tolls, cables, etc.	122.03

1/ Stephen Laird performs editorial services in Paris, France for "France Actuelle", the newsletter referred to in Annex 1, Item 2. As an accommodation to its Principal the Registrant pays Mr. Laird's salary and is in turn reimbursed by its Principal. At no time has Mr. Laird been employed by the Registrant.

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REGISTRATION No. 508

ANNEX 4 (Cont.)

Date Payment Was Made	Name of Person to Whom Payment Was Made	Purpose for Which Payment Was Made	Amount of Payment
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COLONIAL SUGAR REFINING COMPANY, LTD.

July 1 - Dec. 31, '62	Various persons in New York and Washington	Supplies, business services, transpor- tation, postage, tolls, cables, etc.	\$ 328.86
July - Oct.	Chesapeake & Potomac Telephone Co., Washington, D.C.	Toll Calls	365.08
July - Dec.	American Cable & Radio, Washington, D.C.	Cables	771.28